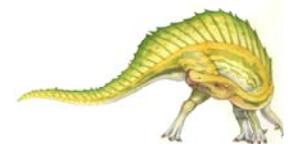




Page Replacement Algorithms

- MIN, OPT (optimal)
- RANDOM
 - evict random page
- FIFO (first-in, first-out)
 - give every page equal *residency*
- LRU (least-recently used)
- MRU (most-recently used)



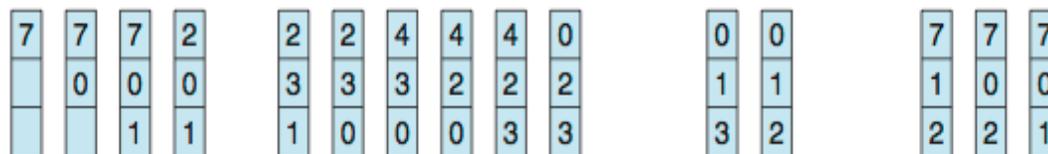


First-In-First-Out (FIFO) Algorithm

- Reference string: **7,0,1,2,0,3,0,4,2,3,0,3,2,1,2,0,1,7,0,1**
- 3 frames (3 pages can be in memory at a time per process)

reference string

7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1



page frames

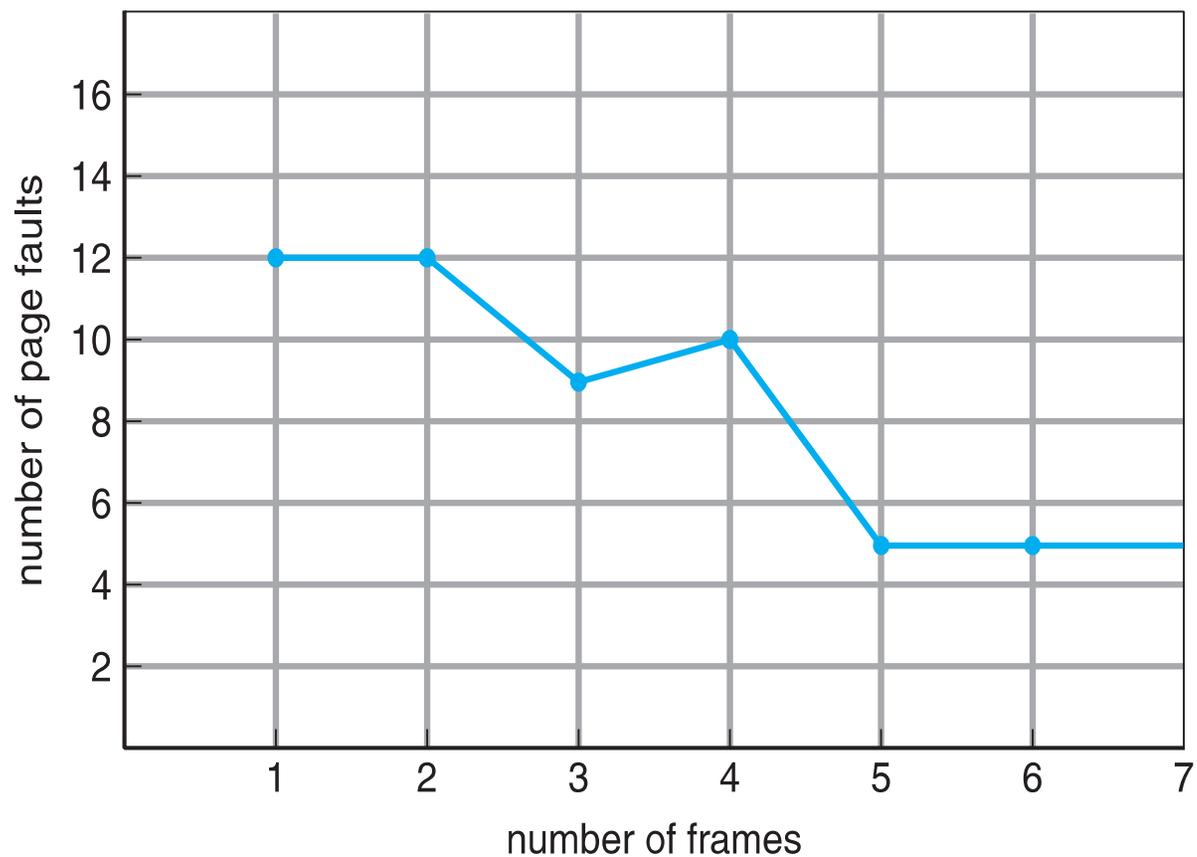
15 page faults

- Can vary by reference string: consider 1,2,3,4,1,2,5,1,2,3,4,5
 - Adding more frames can cause more page faults!
 - ▶ **Belady's Anomaly**
- How to track ages of pages?
 - Just use a FIFO queue





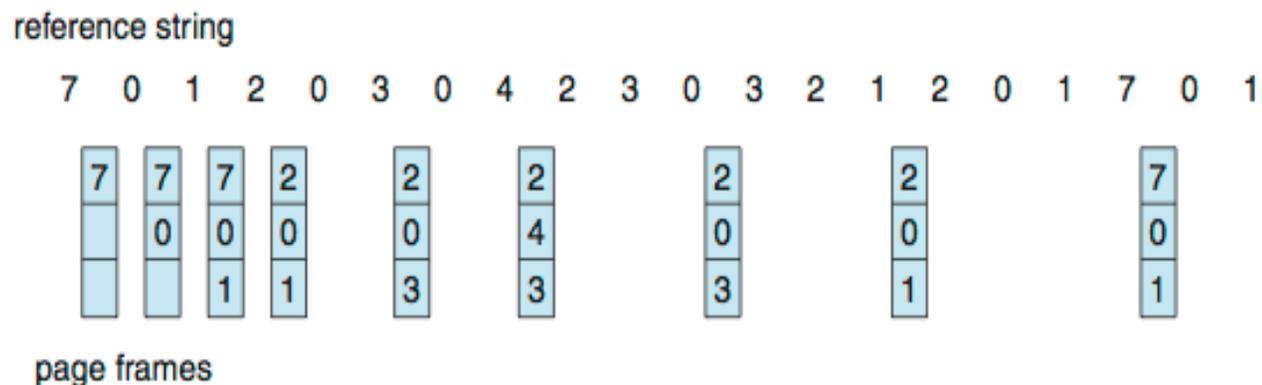
FIFO Illustrating Belady's Anomaly





Optimal Algorithm

- Replace page that will not be used for longest period of time
 - 9 is optimal for the example
- How do you know this?
 - Can't read the future
- Used for measuring how well your algorithm performs



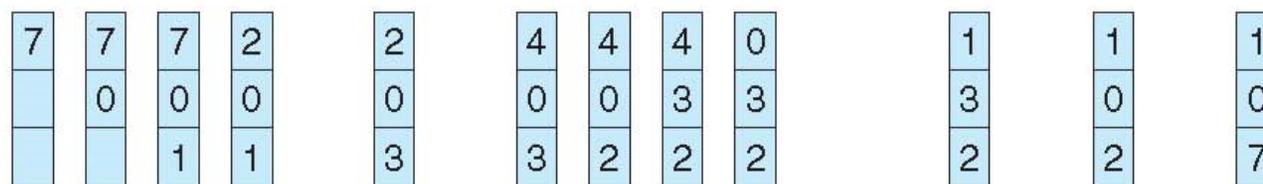


Least Recently Used (LRU) Algorithm

- Use past knowledge rather than future
- Replace page that has not been used in the most amount of time
- Associate time of last use with each page

reference string

7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1



page frames

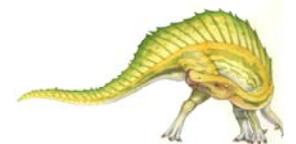
- 12 faults – better than FIFO but worse than OPT
- Generally good algorithm and frequently used
- But how to implement?





LRU Algorithm (Cont.)

- Counter implementation
 - Every page entry has a counter; every time page is referenced through this entry, copy the clock into the counter
 - When a page needs to be changed, look at the counters to find smallest value
 - ▶ Search through table needed
- Stack implementation
 - Keep a stack of page numbers in a double link form:
 - Page referenced:
 - ▶ move it to the top
 - ▶ requires 6 pointers to be changed
 - But each update more expensive
 - No search for replacement
- LRU and OPT are cases of **stack algorithms** that don't have Belady's Anomaly

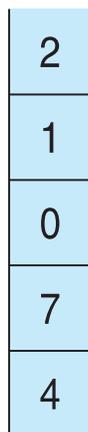




Use Of A Stack to Record Most Recent Page References

reference string

4 7 0 7 1 0 1 2 1 2 7 1 2



stack
before
a



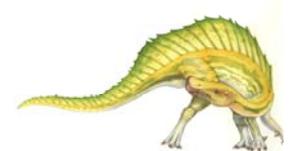
stack
after
b





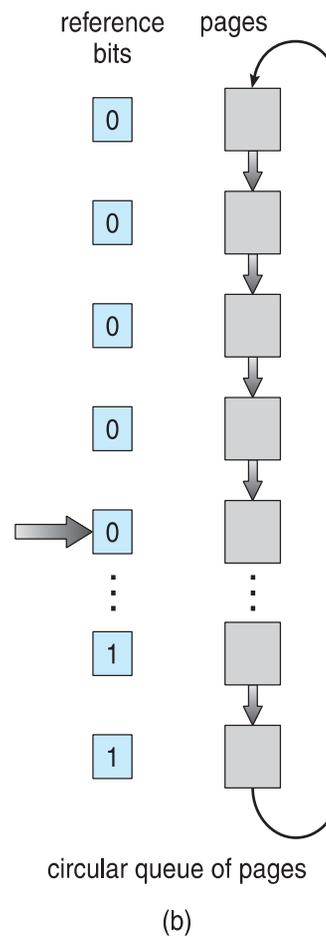
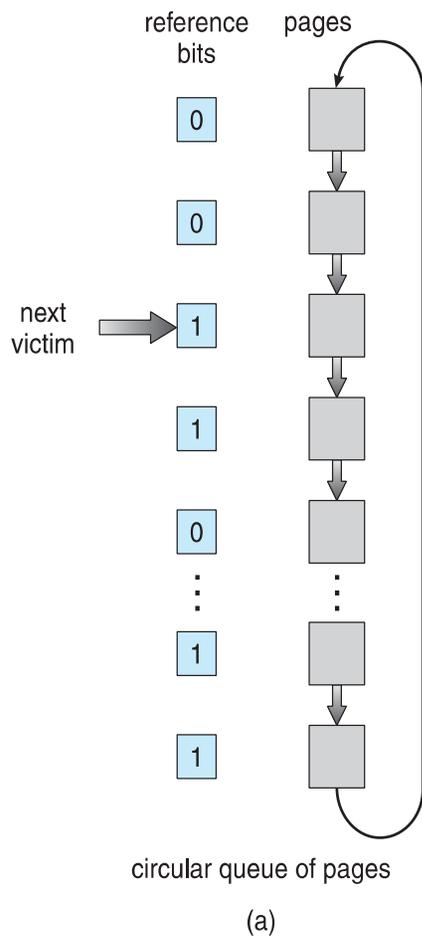
LRU Approximation Algorithms

- LRU needs special hardware and still slow
- **Reference bit**
 - With each page associate a bit, initially = 0
 - When page is referenced bit set to 1
 - Replace any with reference bit = 0 (if one exists)
 - ▶ We do not know the order, however
- **Second-chance algorithm**
 - Generally FIFO, plus hardware-provided reference bit
 - **Clock** replacement
 - If page to be replaced has
 - ▶ Reference bit = 0 -> replace it
 - ▶ reference bit = 1 then:
 - set reference bit 0, leave page in memory
 - replace next page, subject to same rules





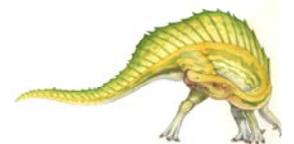
Second-Chance (clock) Page-Replacement Algorithm





Enhanced Second-Chance Algorithm

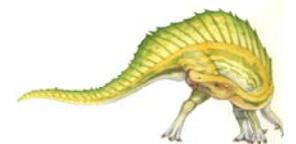
- Improve algorithm by using reference bit and modify bit (if available) in concert
- Take ordered pair (reference, modify)
 1. (0, 0) neither recently used nor modified – best page to replace
 2. (0, 1) not recently used but modified – not quite as good, must write out before replacement
 3. (1, 0) recently used but clean – probably will be used again soon
 4. (1, 1) recently used and modified – probably will be used again soon and need to write out before replacement
- When page replacement called for, use the clock scheme but use the four classes replace page in lowest non-empty class
 - Might need to search circular queue several times





Counting Algorithms

- Keep a counter of the number of references that have been made to each page
 - Not common
- **Least Frequently Used (LFU) Algorithm:** replaces page with smallest count
- **Most Frequently Used (MFU) Algorithm:** based on the argument that the page with the smallest count was probably just brought in and has yet to be used





Page-Buffering Algorithms

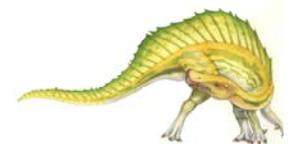
- Keep a pool of free frames, always
 - Then frame available when needed, not found at fault time
 - Read page into free frame and select victim to evict and add to free pool
 - When convenient, evict victim
- Possibly, keep free frame contents intact and note what is in them
 - If referenced again before reused, no need to load contents again from disk
 - Generally useful to reduce penalty if wrong victim frame selected





Applications and Page Replacement

- All of these algorithms have OS guessing about future page access
- Some applications have better knowledge – i.e. databases
- Memory intensive applications can cause double buffering
 - OS keeps copy of page in memory as I/O buffer
 - Application keeps page in memory for its own work
- Operating system can given direct access to the disk, getting out of the way of the applications
 - **Raw disk** mode
- Bypasses buffering, locking, etc





Allocation of Frames

- Each process needs *minimum* number of frames
 - Defined by the computer architecture
- *Maximum* of course is total frames in the system
- Two major allocation schemes
 - fixed allocation
 - priority allocation
- Many variations





Fixed Allocation

- Equal allocation – For example, if there are 100 frames (after allocating frames for the OS) and 5 processes, give each process 20 frames
 - Keep some as free frame buffer pool
- Proportional allocation – Allocate according to the size of process
 - Dynamic as degree of multiprogramming, process sizes change

– s_i = size of process p_i

– $S = \sum s_i$

– m = total number of frames

– a_i = allocation for $p_i = \frac{s_i}{S} \times m$

$$m = 64$$

$$s_1 = 10$$

$$s_2 = 127$$

$$a_1 = \frac{10}{137} \times 62 \approx 4$$

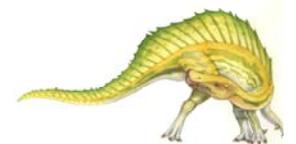
$$a_2 = \frac{127}{137} \times 62 \approx 57$$





Priority Allocation

- Use a proportional allocation scheme using priorities rather than size
- If process P_i generates a page fault,
 - select for replacement one of its frames
 - select for replacement a frame from a process with lower priority number

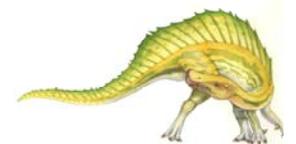




Global vs. Local Allocation

- **Global replacement** – process selects a replacement frame from the set of all frames; one process can take a frame from another
 - But then process execution time can vary greatly
 - But greater throughput so more common

- **Local replacement** – each process selects from only its own set of allocated frames
 - More consistent per-process performance
 - But possibly underutilized memory

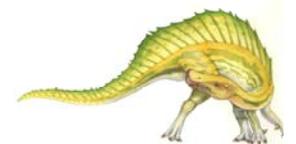




Thrashing

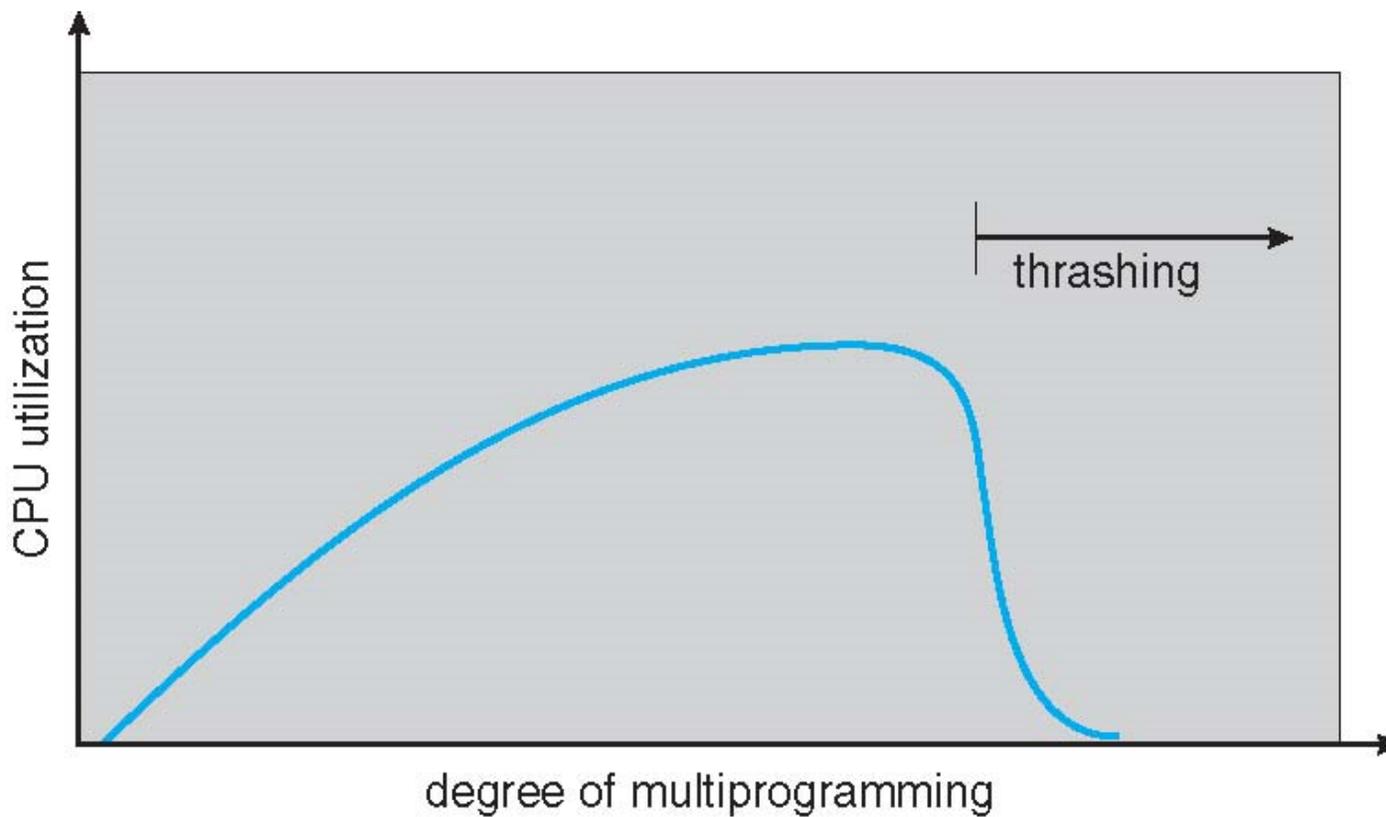
- If a process does not have “enough” pages, the page-fault rate is very high
 - Page fault to get page
 - Replace existing frame
 - But quickly need replaced frame back
 - This leads to:
 - ▶ Low CPU utilization
 - ▶ Operating system thinking that it needs to increase the degree of multiprogramming
 - ▶ Another process added to the system

- **Thrashing** \equiv a process is busy swapping pages in and out





Thrashing (Cont.)





Demand Paging and Thrashing

■ Why does demand paging work?

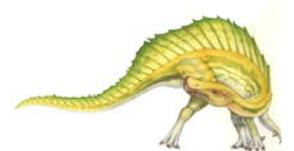
Locality model

- A locality is a set of pages actively used together
- Process migrates from one locality to another
- Localities may overlap
- Localities are defined by the program structure and its data structure

■ Why does thrashing occur?

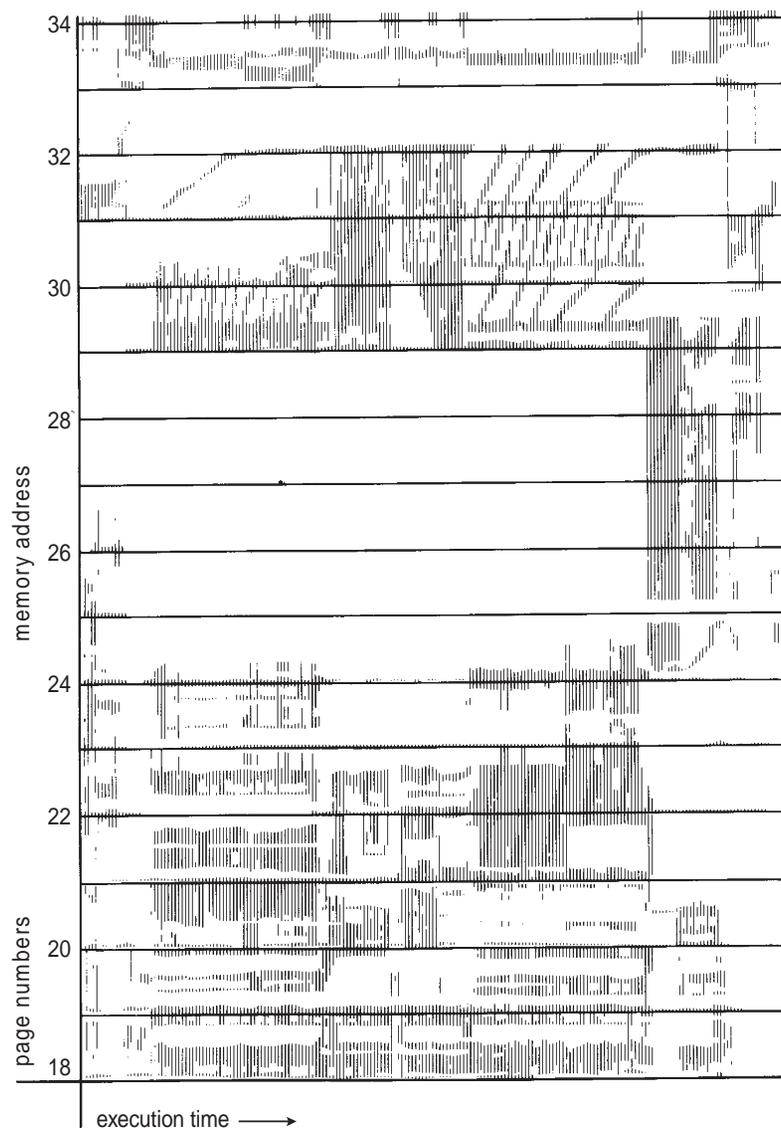
Σ size of locality > total memory size

- Limit effects by using local or priority page replacement





Locality In A Memory-Reference Pattern



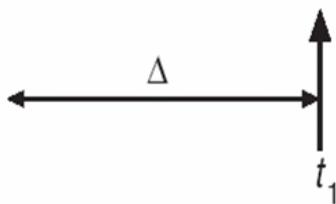


Working-Set Model

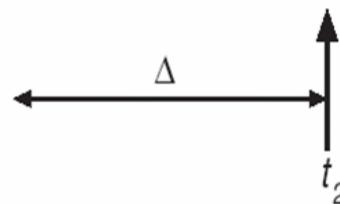
- $\Delta \equiv$ working-set window \equiv a fixed number of page references
Example: 10,000 instructions
- WSS_i (working set of Process P_i) =
total number of pages referenced in the most recent Δ (varies in time)
 - if Δ too small will not encompass entire locality
 - if Δ too large will encompass several localities
 - if $\Delta = \infty \Rightarrow$ will encompass entire program
- $D = \sum WSS_i \equiv$ total demand frames
 - Approximation of locality
- if $D > m \Rightarrow$ Thrashing
- Policy if $D > m$, then suspend or swap out one of the processes

page reference table

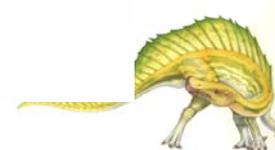
... 2 6 1 5 7 7 7 5 1 6 2 3 4 1 2 3 4 4 4 3 4 3 4 4 4 1 3 2 3 4 4 4 3 4 4 4 ...



$$WS(t_1) = \{1, 2, 5, 6, 7\}$$



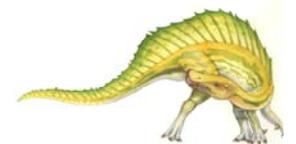
$$WS(t_2) = \{3, 4\}$$





Keeping Track of the Working Set

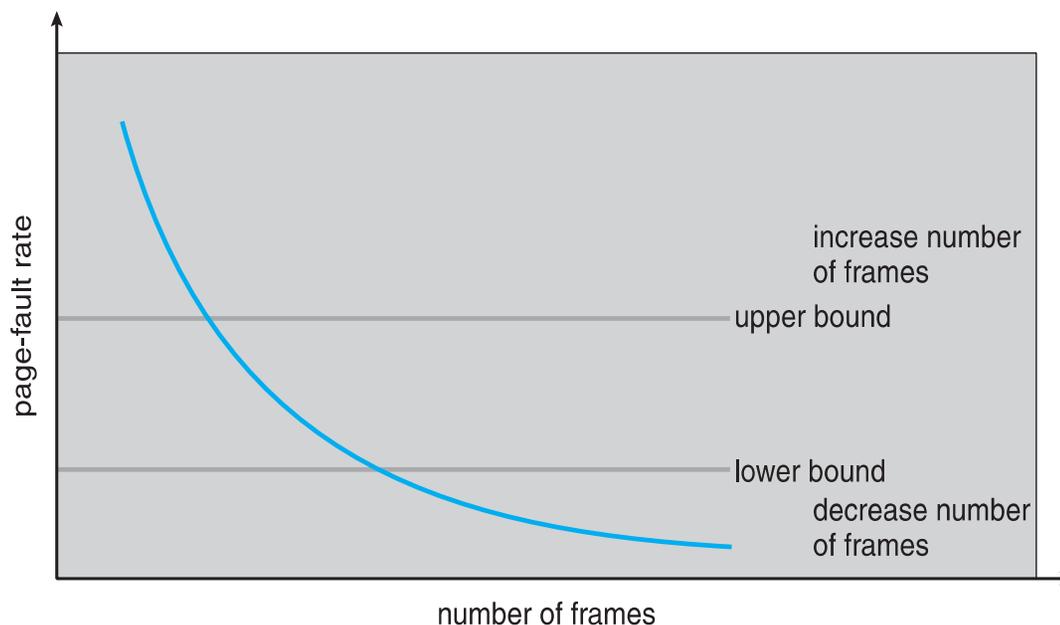
- Approximate the working-set model with interval timer + a reference bit
- Example: $\Delta = 10,000$
 - Timer interrupts after every 5000 time units
 - Keep in memory 2 bits for each page
 - Whenever a timer interrupts copy and sets the values of all reference bits to 0
 - If one of the bits in memory = 1 \Rightarrow page in working set
- Why is this not completely accurate?
- Improvement = 10 bits and interrupt every 1000 time units





Page-Fault Frequency

- More direct approach than WSS
- Establish “acceptable” **page-fault frequency (PFF)** rate and use local replacement policy
 - If actual rate too low, process loses frame
 - If actual rate too high, process gains frame





Working Sets and Page Fault Rates

- Direct relationship between working set of a process and its page-fault rate
- Working set changes over time
- Peaks and valleys over time

